Anti-Bribery and Anti-Corruption Policy

Title:

: Anti-Bribery and Anti-Corruption Policy

Authority

Registrar, Amity University Haryana

Purpose of this Policy

The purpose of this policy is to stop and protect the functions

of the university from malpractices and misdeeds in any said

decisions or actions.

Date of Incorporation

June 2021

Date of Review

Once in three years. This can also be reviewed as per the

requirement of University.

1. Short title, application, and commencement. –These regulations may be called the Amity University Haryana Anti-Bribery and Anti-Corruption Policy.

(a) They shall apply to Amity University Haryana only.

(b) They shall come into force on the date of their publication.

2. Preamble

At Amity University Haryana, we are committed to the highest standards of ethics and integrity in all our activities. This Anti-Bribery and Anti-Corruption Policy (this "Policy") sets forth Amity University Haryana's commitment to ensure that Amity University Haryana and others acting on Amity University Haryana's behalf abide by Anti-Bribery and Anti-Corruption Rules. Amity University Haryana prohibits any form of bribery or corruption, whether involving a Public Official or a private sector company or individual, and whether direct or indirect through a Third-Party Representative. This means that Amity University Haryana prohibits giving, offering, promising, or receiving anything of Value (as defined below), directly or indirectly, with the intent to obtain an improper business advantage for Amity University Haryana.

3. Scope

This Policy applies to all employees, officers, directors, and contractors of Amity University Haryana.

4. Definitions

Employee: Any personnel on the rolls of Amity University Haryana.

"Anything of Value" includes cash, money, goods, and services, including consulting agreements, speaker fees, research agreements, travel, hospitality, meals, favors, entertainment, donations, gifts, or anything that confers a personal benefit.

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"Public Official" refers to (a) any elected or appointed official or employee of a government or government department, government agency, or a company owned or partially owned by a government.

"Third Party Representative" refers to those who are authorized to act for or on behalf of Amity University Haryana, and may include distributors, subcontractors, regulatory agents, advisors, consultants, clinical research organizations, (and includes a vendor, supplier, and contractual employees.

5. Responsibilities and Consequences of Non-Compliance

All Amity University Haryana Personnel and Third-Party Representatives are expected to be aware of, and comply with this Policy and immediately report, actual or suspected violations to either or all below mentioned.

- (a) Vice Chancellor
- (b) Pro Vice-Chancellor
- (c) Registrar

Violations of this Policy by any Amity University Haryana Personnel may result in disciplinary action up to termination of employment as well as the potential for prosecution, fines, or imprisonment in accordance with applicable laws. Any Third-Party Representatives who violate this Policy may face termination of contracts and business relations with Amity University Haryana.

6. Prohibited Payments

Amity University Haryana Personnel and Third-Party Representatives are prohibited from giving, offering, promising, or receiving Anything of Value, directly or indirectly, with the intent to obtain an improperadvantage for/from Amity University Haryana. In some cases, even payments not intended to be improper can appear to be so and expose the individual and company to potential liability. As a result, Amity University Haryana Personnel and Third-Party Representatives must transparently consult with their Legal and Business Conduct colleagues as required by this Policy.

Below is a non-exhaustive list of activities and interactions where particular care needs to be taken to comply with this Policy.

i. Consulting Arrangements

Consulting arrangements with third parties present a heightened risk. All consulting arrangements must be entered into with the prior permission of Amity University Haryana. Funds to be received & services to be provided must be disclosed in detail.

ii. Gifts, Hospitality, and Entertainment

Receiving of Gifts, hospitality, and entertainment are strictly restricted.

No gifts, hospitality, or entertainment may be provided with the intent to obtain an improperbusiness advantage for Amity University Haryana. Reasonable hospitality is only permitted if incidental to a legitimate business meeting with prior approval of the Competent Authority.

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iii. Facilitation Payments

Amity University Haryana prohibits all Amity University Haryana Personnel from offering or authorizing the offer of "facilitation payments," which are small, unofficial payments (sometimes referred to as "tips" or "grease payments") to Public Officials to expedite the performance of routine government actions. All requests for facilitation payments (either direct or indirect) must be reported to Amity University Haryana's Legal Department, see Section 5 below.

iv. Receiving Improper Advantages from Third Parties (e.g., Vendors)

In accordance with Amity University Haryana's Conflict of Interest Policy and certain Anti-Bribery and Anti- Corruption Laws, Amity University Haryana Personnel and Third-Party Representatives will not accept any excessive gifts, gratuities, or entertainment from companies, such as vendors, that have business dealings with Amity University Haryana.

7. Signs of Potential Corruption or Bribery Risk

No Amity University Haryana Personnel or Third-Party Representative should ignore suspicious behavior by others, in conducting Amity University Haryana's, Amity University Haryana Personnel and Third-Party Representatives must be vigilant towards certain factors that may signal the possibility of a briberyor corruption risk.

8. Reporting Violations

If any Amity University Haryana Personnel or Third-Party Representative is taking actions or may be considering taking actions that are suspicious and that you think may be a violation of this Policy, you must immediately report these actions (actual or potential) or suspicions to Amity University Haryana's Legal Department or Amity University Haryana's Ethics Hotline using the access number on GNET or through GNET itself. Amity University Haryana has a Complaint Procedure and Non-Retaliation Policy to protect those raising concerns from being retaliated against for doing so.

Registrar

(Amity University Haryana)

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